

**APPENDIX A TO SUBPART I OF PART 103 –
CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS
FOR FOREIGN BANKS**

[OMB Control Number 1505-0184]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).*

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or **handle other financial transactions related to the foreign bank**.

Special instruction for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

- A. The undersigned financial institution, **BANK OF INDIA** (“**Foreign Bank**”) hereby certifies as follows:



* A “foreign bank” does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification: Check one box.

- This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.
- This Certification applies to Correspondent Accounts established by _____ (name of Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check one box and complete the blanks.

- Foreign Bank maintains a physical presence in any country. That means:
- Foreign Bank has a place of business at the following street address: **Star House, Bandra-Kurla Complex, Bandra (East), Mumbai 400051**, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in **India**, where Foreign Bank is authorized to conduct banking activities.
 - Foreign Bank is subject to inspection by **Reserve Bank of India**, the banking authority that licensed Foreign Bank to conduct banking activities.

Please refer to Annexure, for the list of branches of Bank of India, which have a physical presence overseas and their respective banking authorities.

- Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:
- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
 - Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.
- Foreign Bank does not have a physical presence in a country and is not a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to



any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, **if applicable.**

- 1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
- 2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete item 3 below, **if applicable.**

- 3. Foreign Bank has no owner(s) except as set forth below. For purposes of this Certification, owner means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family* shall be considered one **person**.

Name	Address

F. Process Agent: complete the following.

The following individual or entity: **BANK OF INDIA, NEW YORK BRANCH** is a resident of the United States at the following street address: **277, Park Avenue, New York NY 10172-0083, USA, and** is authorized to accept service of legal process on behalf of Foreign Bank from the

* The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.



Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

G. General

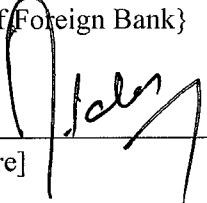
Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, **R.A.SANKARA NARAYANAN**, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

BANK OF INDIA

[Name of Foreign Bank}



[Signature]

R. A. SANKARA NARAYANAN

[Printed Name]

CHIEF GENERAL MANAGER

[Title]

Executed on this 25th day of April, 2015.

Received and reviewed by:

Name: _____

Title: _____

For: _____

[Name of Covered Financial Institution]

Date: _____



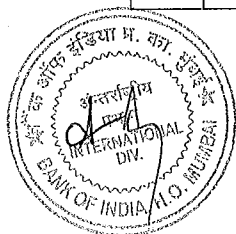
ANNEXURE**LIST OF FOREIGN BRANCHES**

Sr No	Centre / Branch	Address	Monetary Authority
1	Singapore	158 Cecil Street, #01-01, #02-01, & #09-01 Singapore 069545	Monetary Authority of Singapore
2	Phnom Penh Branch, Cambodia	IOC Building, 4 th Floor, 254, Monivong Blvd., Phnom Penh, Kingdom of Cambodia.	National Bank of Cambodia
3	New York	277, Park Avenue, New York, NY – 10172, U.S.A.	(i) Federal Reserve Bank of New York (ii) Federal Deposit Insurance Corporation (iii) State of New York Banking Department.
4	San Francisco (Agency)	555, California Street, Suite 4646, San Francisco, California 94104, U.S.A.	(i) Federal Reserve Bank of San Francisco (ii) Department of Financial Institutions, State of California.
5	Cayman Islands *	P.O. Box 694, Grand Cayman, Cayman Islands, C/o. New York Branch.	Cayman Islands Monetary Authority
6	Tokyo	Marunouchi Nakadori Bldg., 2-2-3, Marunouchi, Chiyoda-Ku, Tokyo 100-0005, Japan.	Bank of Japan
7	Osaka	Nihon Seimei Sakaisuji, Honmachi Bldg., 2 nd Floor, 8-12, Honmachi, 1-Chome, Chuo-Ku, Osaka 541-0053, Japan.	Bank of Japan

* Cayman Islands Branch of Bank of India does not maintain a physical presence or staff on the Cayman Islands. The Branch is managed by Bank of India, New York, United States of America. The Branch maintains a banking license issued by the Cayman Islands Monetary Authority and is regulated and under supervision of the Cayman Islands Monetary Authority. Cayman Islands Branch is included under Bank of India's certification to the USA PATRIOT Act.



Sr No	Centre / Branch	Address	Monetary Authority
8	London	4 th Floor, 63, Queen Victoria St., London EC4N 4UA.	Prudential Regulation Authority and the Financial Conduct Authority
9	Birmingham	182, Soho Road, Handsworth, Birmingham, B21 9LP.	Prudential Regulation Authority and the Financial Conduct Authority
10	East Ham	320/322, Barking Road, East Ham, London E6 3BA.	Prudential Regulation Authority and the Financial Conduct Authority
11	Leicester	105-107, Belgrave Road, Leicester LE4 6AS.	Prudential Regulation Authority and the Financial Conduct Authority
12	Manchester	79, Newton Street, Manchester M1 1EX, U.K.	Prudential Regulation Authority and the Financial Conduct Authority
13	Wembley	293, Harrow Road, Wembley, Middlesex HA9 6BD.	Prudential Regulation Authority and the Financial Conduct Authority
14	Jersey	37, New Street, St. Helier, Jersey JE2 3RA, Channel Islands.	Jersey Financial Services Commission, Jersey
15	Paris	3, Rue Scribe, 75009, Paris, France.	Commission Bancaire
16	Antwerp, Belgium	18-20, Schupstraat, 2018 Antwerp, Belgium.	Commission Bancaire, Financiere et des Assurances
17	Glasgow, Scotland	1 Somerset Place, Glasgow G3 7JT, Scotland.	Prudential Regulation Authority and the Financial Conduct Authority
18	Nairobi	Kenyatta Avenue, P.O. Box 30246 00100, Nairobi, Kenya.	Central Bank of Kenya



Sr No	Centre / Branch	Address	Monetary Authority
19	Industrial Area	Ideal Corner, Lusaka Road, P.O. Box 18415 00500, Nairobi, Kenya.	Central Bank of Kenya
20	Westlands	Progressive Park Towers, P.O. Box 14359 00800, Westlands, Nairobi, Kenya.	Central Bank of Kenya
21	Mombasa	Bank of India Bldg., Nkrumah Road, Treasury Square, P.O. Box 90684, Mombasa, Kenya.	Central Bank of Kenya
22	Johannesburg (South Africa)	Bank of India, Johannesburg Branch, 3 rd Floor, Office Towers, Sandton City, Johannesburg, Republic of South Africa.	Reserve Bank of South Africa
23	Hong Kong	2 nd Floor, Dina House, Ruttonjee Centre, 11, Duddell Street, Central Hong Kong.	Hong Kong (SAR) Monetary Authority, Hong Kong
24	Kowloon	Units 906-908, 9 th Floor, Hong Kong Pacific Centre, 28, Han Kow Road, Kowloon, Hong Kong.	Hong Kong (SAR) Monetary Authority, Hong Kong
25	Shenzhen (Peoples Republic of China)	Suite No. 2004-05, 20 th Floor, Golden Business Centre No.2028, Shennan Road (E), Luohu, Shenzhen – 518007, People's Republic of China.	China Banking Regulatory Commission (CBRC), The People's Bank of China.
26	Dubai (Dubai International Financial Centre)	Unit S1902, Level 19, Emirates Financial Towers, DIFC, PO Box 116470, Dubai, UAE	Dubai Financial Services Authority



Other Indian Branches		
Treasury	Star House, C-5, 'G' Block, Bandra-Kurla Complex, Bandra (East), Mumbai – 400 051.	Reserve Bank of India
Mumbai NRI	Bank of India Building, 70/80, M.G. Road, Mumbai – 400 023.	Reserve Bank of India
Mumbai Overseas	Bank of India Building, 70/80, M.G. Road, Mumbai – 400 023.	Reserve Bank of India



NAME AND ADDRESS OF OWNER(S)

Name	Address (No Post Office Boxes)
GOVERNMENT OF INDIA @ (64.43%)	GOVERNMENT OF INDIA, NEW DELHI
SHARES ISSUED TO THE PUBLIC @ (35.57%)	SHARES HELD BY BANKS / FINANCIAL INSTITUTIONS / GENERAL PUBLIC / EMPLOYEES / OTHER CORPORATE BODIES, ETC., INCLUDING NRIs, OCBs, FOREIGN NATIONALS. BANK OF INDIA SHARES ARE LISTED WITH NATIONAL STOCK EXCHANGE OF INDIA AND BOMBAY STOCK EXCHANGE LTD.

(@ FIGURES IN BRACKET INDICATE SHAREHOLDING PERCENTAGE AS ON 31ST MARCH, 2015)



**NAME AND ADDRESS OF AGENT DESIGNATED
TO ACCEPT SERVICE OF LEGAL PROCESS**

Name	Address (No Post Office Boxes)	Phone No.	Fax No.	E-mail Address
BANK OF INDIA, NEW YORK BRANCH	277, PARK AVENUE, NEW YORK, NY 10172-0083	001212- 753-6100	001212-319-6347 001212-980-0052	boiny@usa.net

